

Successful Adoption of Software Process Improvement Models: A Cultural-Methodological Proposal

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Abstract— Adoption of a Software Process Improvement Model (SPIM) is a problematic activity that occurs in almost all software development companies. This problem has different causes. One of these causes has relation with cultural aspects that are present in: a) The Company's organizational culture, b) The SPIM's documents embedded culture. Whether these cultural aspects are not treated properly there will be a problem that generates millions in economic losses to companies around the world.

To reduce these economic losses and increase successful rates of SPIM adoptions, we developed a cultural-methodological proposal. This has four steps: a) Identify the Company's organizational culture, b) identify the SPIM's documents embedded culture and, c) Identify and quantify cultural aspects of organizational culture and embedded culture and, d) explain differences between them. The purpose is to generate information that can be used to develop plans and strategies for adoption and institutionalization of SPIM.

Our proposal is illustrated using the Mexican Norm: NMX-I-059/NYCE-2005 as an example of a SPIM and 8 Mexican Software development companies as an example of organizational culture.

Index Terms— Culture embedded, Software Process Improvement Model, Organizational Culture, MoProSoft.

I. INTRODUCTION

Companies trying to adopt SPIM face organizational culture challenges. The failure to adopt such models results in millions of dollars of economic losses [1].

To address this problem, some works have been developed: Siakas developed the CODES model [2] and, Hazzan developed a model to analyze the connections between a national culture and the culture inspired by software development methods (SDMS) [3]. However, more research is needed to find out how approach the cultural aspects in SPIMs adoptions.

In this research, our main outcome was:

1. Organizational culture Identification of eight Mexican software development companies.

2. Differences identification between Mexican companies' organizational culture vs Mexican Norm's embedded culture.
3. Differences qualitative and quantitative interpretation

II. PROBLEM

The main tension, that rises when a company tries to adopt a SPIM, is between culture embedded of process improvement models which they are attempting to adopt and the culture of their organization. The failure to adopt such models results in economic losses, decreased productivity, and slipped delivery schedules. This problem has been analyzed and documented in several areas, including: a) Up to 70% of failure to adopt the CMMI model is due to cultural problems [1], b) ERP implementation projects failures have negative economic impacts on the organizations that implemented the systems, Nielsen [4] mentions that only 15% of ERP implementations are seen as successful. Now, whether we considered that in 2003 the ERP market, in the United States, reached sales of \$ 66.6 billion of dollars [5] we can see that to adopt an ERP system generate important economic losses and, these failure cases are not always about technological problems, often are cultural problems [6].

III. THEORETICAL FRAMEWORK

In this section we show the elements, which are important in our research.

A. Technology Adoption

In 1988, Kedia and Bhagat in their work: "*Cultural Constraints on Transfer of Technology across Nations: Implications for research in international and comparative management*", proposed a technology adoption model. This model took into account the technology's cultural aspects and, it was based on Hofstede's four cultural dimensions [8]. Other authors [9] analyzed three groups of factors affecting technology

adoption: a) Nature of Technology: It includes communication, type of government and inter-organizational structure. b) Previous international experience: It is argued that experience facilitates adoption, and c) Cultural differences between technology suppliers and recipients: It explores how cultural differences between the technology's developers and the technology's recipients represent the biggest barrier in the process of technology adoption.

Williams and Gibson [10] suggest that the technology adoption should be conceptualized as a communication process where cultural differences between technology's developers and technology's recipients affect the communication efficiency.

Nicolet [11] argues that in any technology adoption process should understand the cultural aspects of the two cultures involved. The acceptance or rejection of the technology will depend on their cultural differences.

B. Organizational Culture

Culture is a phenomenon that surrounds us all the time, emerges from interaction with others and can be viewed as a set of structures, routines, rules and standards that guide and constrain behavior [12]. Can be defined as "the collective programming of the mind which distinguishes the members of one group or category of people from another" [8], their study goes back to early 80's [13], [14], [15], [16].

Through their study has shown that culture has a major impact on organizational performance [17], [18], [19]. This generated the organizational culture concept.

Organizational culture has been defined as "the collective programming of the mind which distinguishes the members of one organization from another" [20]. To detect the characteristics of each organizational culture, several proposals have been developed.

The proposals developed to detect organizational culture have been mainly in the last 20 years, in them, authors have suggested a variety of dimensions and attributes to organizational culture measure. Some authors [21], [12], [22], argue that consistency and culture strength are the main cultural dimensions. Other authors Arnold and Capella [23] proposed a matrix of cultures based on a strong-weak dimension and an internal-external focus dimension. Other author, Ernst, argued for people orientation, participative vs non-participative, and response to the environment, reactive vs proactive, as the key cultural dimensions. An Author, Gordon, identified eleven dimensions of culture: clarity and direction, organizational reach, integration, top management contact, individual initiative encouragement, conflict resolution, performance clarity, performance emphasis, action orientation, compensation, and human resource development. Hofstede [8] focused on power distance, uncertainty avoidance, individualism, and masculinity. Martin [24] proposed cultural integration and consensus, differentiation and conflict, and fragmentation and ambiguity.

One reason so many dimensions have been proposed is that organizational culture is extremely broad and inclusive in scope. It comprises a complex, interrelated,

comprehensive, and ambiguous set of factors. Consequently, it is impossible to ever include every relevant factor in diagnosing and assessing organizational culture [7].

To determine the most important dimensions on which to focus, therefore, it is important to use an underlying framework, a theoretical foundation that can narrow and focus the search for key cultural dimensions. The most appropriate framework should be based on empirical evidence, should be valid, and should be able to integrate and organize most of the dimensions being proposed [7]. This is the reason why, in this work, we use Competing Values Framework (CVF). With CVF we have identified organizational culture and embedded culture of technology.

C. Competing Values Framework

The CVF framework was developed from an empirical way and includes many of the dimensions proposed as necessary to identify organizational culture [7].

The advantages of CVF are six:

1. Practical. It captures key dimensions of culture.
2. Timely. The process of diagnosing can be accomplished in a reasonable amount of time.
3. Involving. The steps in the process can include every member of the organization.
4. Quantitative and Qualitative. The process relies on quantitative measurement of key cultural dimensions as well as qualitative methods including stories, incidents, and symbols that represent the organization immeasurable ambience.
5. Manageable. The diagnosis process can be undertaken and implemented by a team within the organization.
6. Valid. The framework on which the process is built not only makes sense to people as they consider their own organization but is also supported by an extensive empirical literature and underlying dimensions that have a verified scholarly foundation.

The CVF defines four organizational culture types: Clan, Hierarchy, Adhocracy and Market. See Fig. 1.

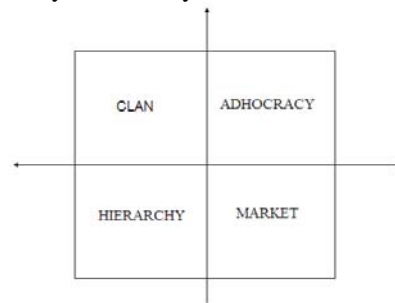


Figure 1. Organizational culture types.

In this paper we identify the organizational culture of software development companies in order to identify differences and similarities between it and the technology's embedded culture. Organizational culture characterization is important in technology adoption

process. It has been analyzed in previous works [25], [26], [27], [28], [29].

D. Technology's Embedded Culture

Technology can mean many things; can be a process, a method, a technique, a tool, a procedure or a paradigm [30]. It can be defined as: "the application of scientific knowledge for practical purposes, especially in industry". Although the term technology can be perfectly well defined, is not the case when trying to define embedded cultural aspects of it. Then, the following question arises: Is the technology, culturally neutral?, if we consider only the construction and operation of it, the answer may be YES. But, if we consider human activities around it, the answer is clearly NO [31]. See Fig. 2.

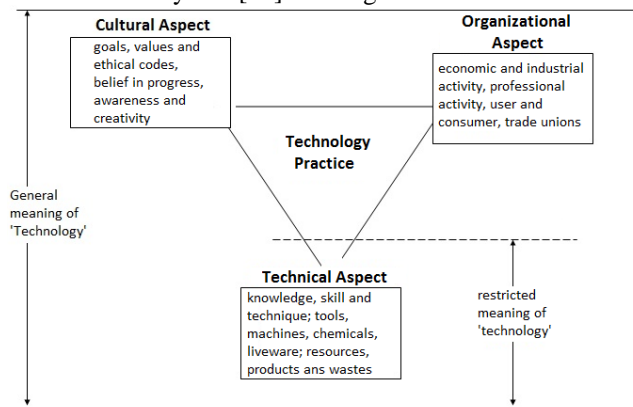


Figure 2. Technology and Culture [23]

There are authors who have said: Technology has cultural aspects and these are similar to person's cultural aspects that developed this technology [32], [33], [34]. The culture is present in the design, development and quality of many products and in providing many services.

An interesting example is the difference in two companies' passenger jets design, Airbus (European, France-Germany) and Boeing (American, EU). Pilots familiar with both models, said: "Airbus is designed to fly on their own, and Boeing requires more interaction from pilot". The explanation can be found in the following analysis: while Airbus is developed in a culture that avoids uncertainty, Boeing is developed in a culture with a low power distance index [8].

Technology not only involves machines, techniques and knowledge. It also involves values and organization characteristic patterns [31].

Arnold Pacey identified 3 technology practice aspects: cultural, technical and organizational aspects.

According to this author there are 2 points of view to define technology: 1st. from a narrow view and 2nd. from a general point of view.

The narrow point of view focuses only technical aspects, the general point of view cover cultural, technical and organizational aspects.

Pacey said: "We can see the Technology's culture in the practices which take place around their use". Other authors [1] identify the embedded culture of technology based on a content analysis [35], [36].

In this paper, we identify the technology's embedded culture through an analysis of their descriptive documents and we define a Software Process Improvement Model like a technology.

Finally, it would be a big mistake to think that a SPIM does not have culture.

E. Mexican Norm: NMX-I-059/NYCE-2005

The technology analyzed was MoProsoft. It is a Mexican Process Model to micro and small software development enterprises. It has founded in ISO 9000:2000, CMMI v1.1, ISO/IEC TR 15504-2:1998, PMBOK and SWEBOK[55]. Moprosoft is the reference model of the Mexican Norm called NMX-I-059/NYCE-2005, it has four description books: Book 1: Definition of concepts and products. Book 2: Process requirements. Book 3: Guidelines for processes implementation. Book 4: Guidelines for processes assessment.

MoProSoft's Purpose is to support standardization of operations into software development companies. To accomplish this purpose, MoProSoft define 9 processes: Business Management, Process Management, Project Management, Resource Management, Human Resources and Work Environment, Goods Services and Infrastructure, Organization's Knowledge, Specific Projects Management and Software Development and Maintenance. These processes are grouping in 3 categories: Top Management, Management and Operations. In this work we use MoProsoft and NMX-I-059/NYCE-2005 (Mexican Norm) like synonyms.

IV. CO-OCCURRENCE METHOD

To identify keywords of any document, you can apply co-occurrences method (Fig. 3), this method involves the following steps:

1. Obtaining or generating a description document about what we will analyze.
2. Identify common words in that document and, select words with a frequency greater than two.
3. Calculate normalized probability about word occurrences identified in step two.
4. Generate a co-occurrence matrix will have a dimension of $n \times n$, where n is the number identified in step 2.
5. Calculate expected frequency of co-occurrences identified in step 4.
6. Assess the reliability of co-occurrences by Chi-square test.
7. Discard co-occurrences which do not pass Chi-square test.
8. Get final list of co-occurrences

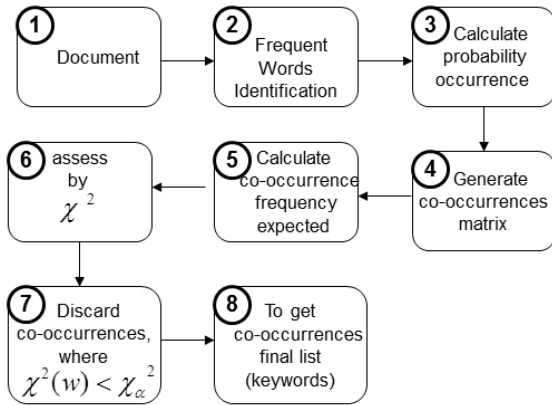


Figure 3. Co-occurrences - method

In this paper, we used co-occurrences method to identify co-occurrences of the 4 culture types proposed in CVF and to identify co-occurrences of the 4 books that make up the Mexican standard NMX-I-059/NYCE-2005.

V. CULTURAL-METHODOLOGICAL PROPOSAL

Our cultural-methodological proposal has 4 steps (Fig. 4):

1. Organizational culture identification.
2. Culture embedded identification.
3. Identify and quantify the differences between organizational culture and culture embedded.
4. Differences interpretation

To identify organizational culture we used OCAI (Organizational Culture Assessment Instrument [7]). This instrument is in the form of a questionnaire that requires individuals to respond six items, these are: Dominant characteristics, organizational leadership, management of employees, organizational glue, strategic emphases and, criteria of success. Each Item has 4 alternatives and 100 points among these depending to which each alternative is similar to the organization.

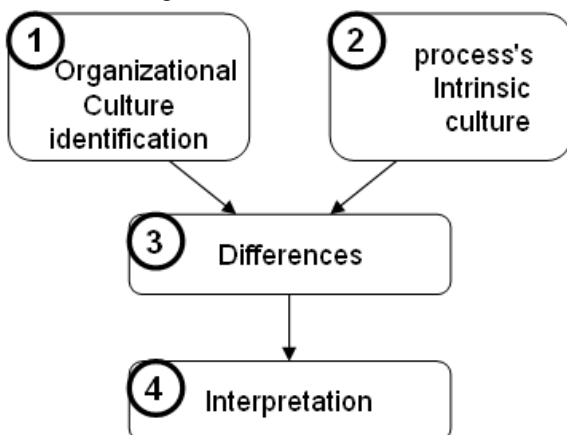


Figure 4. Methodological proposal

To identify the SPIM's culture embedded, although we do not show the process (we only show the result) to identify Mexican Norm's culture embedded, we know that we must to solve a problem: Characterize the four

books which describe the Mexican Norm. To do it, we used co-occurrence method.

To identify the differences, we did a comparison between organizational culture vs culture embedded.

Finally, we did a qualitative interpretation about the differences.

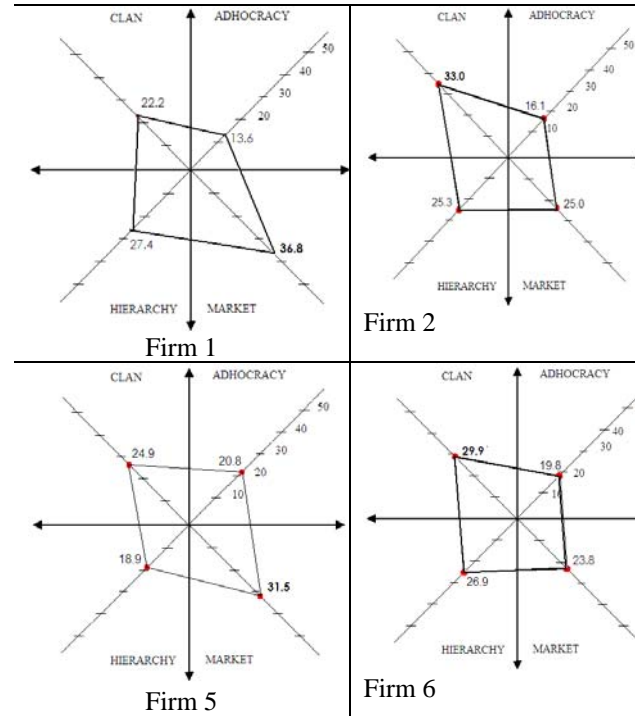


Figure 5 Organizational culture detected in some Mexican Software Development Companies.

A. Organizational Culture Identification

Step 1. In this step we identified organizational culture. In our research we identified 8 organizational cultures, to do it, we applied surveys on-line. Surveys were administered to 8 Mexican companies of various sizes; from 3 to 27 employees. Most companies were located in Mexico City, the largest technology hub and the nation's capital, but there were also companies in the nearby states of Tamaulipas and Veracruz. The instrument used to detect the organizational culture was OCAI [7]. To motivate the participation of companies, we issued a national invitation through the Mexican magazine Software Guru which specializes in software engineering. The questionnaire was administered anonymously to each employee of the company over the Internet.

Following receipt of the questionnaires, responses were analyzed following the OCAI protocol to identify the culture of each organization (Fig. 5, we show only four Mexican companies' culture).

In next section we show the procedure to identify embedded culture of NMX-I-059/NYCE-2005.

B. Embedded Culture of NMX-I-059/NYCE-2005

Step 2. In this step we identified NMX-I-059/NYCE-2005 embedded culture. We did not use OCAI because OCAI is for persons and in this case there are not persons, there are documents. Then, to identify the embedded culture we applied content analysis method.

To apply Content Analysis, we solved two problems. First: Characterize the documents which describe the four culture types. Second: Characterize the documents which describe the Mexican Norm (NMX-I-059/NYCE-2005). These problems were resolved with co-occurrence method. With these results, we could identify the embedded culture of the Mexican Norm.

C. CVF's Cultures Characterization

First Problem, CVF's cultures characterization. This problem arose in the step 2 of our methodology. We could solve this problem with co-occurrences method (Fig. 3). We analyzed a document with description of four culture types (Hierarchy, Clan, Market and Adhocracy). These cultures were showed by Cameron and Quinn [7] in their book about organizational cultures.

Next sub-section present details of each step co-occurrences method. We present, solely, Hierarchy Culture characterization details and, we present characterization outcomes of the other cultures (Clan, Market and Adhocracy).

D. Frequent Words Identification

We used AntConc 1 to identify frequent words in Hierarchy Culture description documents. We considered only words that had a frequency greater than 2. Eighty three words met this criterion. Table 1 presents an abstract of identified words.

TABLE 1. WORDS WITH FREQUENCY GREATER THAN 2

Num.	Frequency	Word
1	14	Control
2	11	Efficiency
3	8	Management
4	8	Stability
5	7	Efficient
6	7	Organization
7	7	Procedure
8	7	Rule
9	6	Maintaining
10	5	Characteristic
11	5	Effectiveness
12	5	Predictability
....
82	2	Typical
83	2	Valued

E. Occurrence Probability

After obtaining these eighty three words, we proceeded to calculate the occurrence probability (p_g). We had to normalize (p_g), It mean: We must sum (p_g) of this words and, this sum must be 1 (normalized relative frequency). Table 2 shows some occurrence probability (p_g).

TABLE 2. OCCURRENCE PROBABILITY

Num.	Frequency	Word	Probability
1	14	Control	0.053
2	11	Efficiency	0.042
3	8	Management	0.030
4	8	Stability	0.030
5	7	Efficient	0.027
6	7	Organization	0.027
7	7	Procedure	0.027
8	7	Rule	0.027
9	6	Maintaining	0.023
10	5	Characteristic	0.019
11	5	Effectiveness	0.019
12	5	Predictability	0.019
....			
82	2	Typical	0.08
83	2	Valued	0.0
Probabilities sum			1

F. Co-occurrence Matrix

To generate co-occurrence matrix, we start with these premises: A document is formed by sentences; a sentence is formed by a set of words and a sentence finishing with a stop mark “.”. Two words (couple words) into a same sentence are considerate like a co-occurrence. We counted, in each sentence, each couple of frequent words to generate our co-occurrence matrix.

To find all co-occurrences We made $n * n - 1$ searches, where n is the number of frequent words. Our co-occurrence matrix has a $n \times n$ dimension. The co-occurrence matrix for Hierarchy culture had a 83×83 dimension. We show a co-occurrence matrix portion in table 3, denoted as G .

TABLE 3. CO-OCCURRENCE MATRIX

Word	control	Efficiency	management	Stability	efficient	...
control	0	4	0	3	1	...
efficiency	4	0	0	1		...
management	0	0	0	1	1	...
stability	3	1	1	0	1	...
efficient	1	0	1	1	0	...
...

In table 3, we can watch the co-occurrence of each couple words. For example, the couple (control vs efficiency) was found 4 times into different sentences in whole document.

After, We found all co-occurrences, we calculate the summation (n_w). This summation was calculate with $n_w(i) = \sum_{j=0}^n term(i, j)$, where, $term(i, j)$ is the sum of all co-occurrence of word i vs word j . When $i = j$, $term(i, j) = 0$ We show outcomes in table 4.

¹ AntConc 3.2.1. Text Analysis Tool, developed by Ph. D. Laurence Anthony.

TABLE 4.
CO-OCCURRENCES SUM

Word	Con-Trol	Effi-ciency	Manage-Ment	Stabi-lity	Effi-cient	nw
control	0	4	0	3	1	64
efficiency	4	0	0	1		38
management	0	0	0	1	1	18
stability	3	1	1	0	1	17
efficient	1	0	1	1	0	35
						...

In table 4, we can see, for example, the frequent word “control”, had a co-occurrence of 64 times with some of other 82 frequent words.

Assuming that we have a word w and it appears independently from frequent word G the distribution of co-occurrence of word w and the frequent word is similar to the unconditional distribution of occurrence of the frequent words shows in table 2. Conversely, if word w has a semantic relation with a particular set of words $g \in G$, co-occurrence of word g and w is greater than expected; the distribution is to be biased.

Thus, a word with co-occurrences biases may have an important meaning in a document, in our case, the words: “control”, “efficiency”, etc. are important words in the description hierarchy culture document.

G. Expected Frequency of Co-occurrence

We denote the unconditional probability of a frequent word $g \in G$ as the expected probability p_g and the total number of co-occurrence of word w and frequent terms G as n_w . $n_w * p_g$ is the expected frequency of co-occurrence, (see Table 5.).

TABLE 5.
EXPECTED FREQUENCY OF CO-OCCURRENCES

Num.	Word	Frequency	p_g	$n_w * p_g$
1	control	14	0.053	3.41
2	efficiency	11	0.042	1.59
3	management	8	0.030	0.55
4	stability	8	0.030	0.52
5	efficient	7	0.027	0.93
6	organization	7	0.027	0.85
7	procedure	7	0.027	0.69
8	rule	7	0.027	0.48
9	maintaining	6	0.023	0.27
10	characteristic	5	0.019	0.21
11	effectiveness	5	0.019	0.27
12	predictability	5	0.019	0.29
...
82	typical	2	0.008	0.05
83	valued	2	0.008	0.

H. Null and Alternative Hypothesis

The degree of bias of the co-occurrence distribution was measured by the χ^2 . This allowed to evaluate the bias between the expected frequency ($n_w p_g$) and, the observed frequency ($freq(w, g)$).

We are going to present our hypothesis:

- a) Null Hypothesis (H_0). "occurrence of frequent word G is **independent** from occurrence of word w ".

- b) Alternative Hypothesis (H_A). "occurrence of frequent words G is **dependent** from occurrence of word w ".

We expect to reject the null hypothesis (H_0) and, take as true the alternative hypothesis (H_A).

To evaluate both hypotheses, we need to calculate χ^2 value to all words g . To do it, we are going to use equation (1).

$$\chi^2(w) = \sum_{g \in G} \frac{(freq(w, g) - n_w p_g)^2}{n_w p_g} \quad (1)$$

The null hypothesis will be rejected when $\chi^2(w) > \chi_{\alpha}^2$.

The term $(freq(w, g) - n_w p_g)$ represent the difference between the expected frequency and the observed frequency. Large values of $\chi^2(w)$ indicates that the co-occurrence of the word w has a strong tendency or bias, generally, these words have a relative importance in the document and these will be considered like candidates to be keywords. Table 6 shows value χ^2 of w word.

TABLE 6.
 χ^2 VALUE

Num.	Frequency	Word	χ^2
1	14	control	192.34
2	11	efficiency	101.22
3	8	management	56.93
4	8	stability	61.13
5	7	efficient	59.92
6	7	organization	63.05
7	7	procedure	74.80
8	7	rule	83.08
9	6	maintaining	42.56
10	5	characteristic	67.08
11	5	effectiveness	99.29
12	5	predictability	74.32
...	
82	2	typical	121.92
83	2	valued	0.0

After we did this calculus, we organize the words in descendent way; we took like reference the χ^2 value.

With all the values obtained, we assessed the null hypothesis and the alternative hypothesis. The next sections show our outcomes for all CVF's cultures.

I. Assessing Hypothesis to CVF's Cultures

To assess (H_0) and (H_A) to all CVF's cultures, we must compare χ^2 values of each co-occurrence culture versus χ_α^2 value (reference value) of each culture.

For this comparison, we must consider that we want a reliability of 99.9%, then, we need α to be equal to 0.001. The next table (Table 7) show the conditions that must be match each culture to reject or accept their null hypothesis.

TABLE 7.
 H_0 TRUE

Culture	Degrees of freedom	Reference value (RV)	(H_0) true
Hierarchy	82	127.32	$\chi_{HC\alpha}^2 > RV$
Clan	67	108.53	$\chi_{CC\alpha}^2 > RV$
Adhocracy	65	105.60	$\chi_{AC\alpha}^2 > RV$
Market	58	97.04	$\chi_{MC\alpha}^2 > RV$

For example, to hierarchy culture, the $\chi_{HC\alpha}^2$ value must be 127.324. It means, for all w words that have a $\chi^2(w)$ value greater than 127.324, we will reject the null hypothesis (H_0) and we will take like true the alternative Hypothesis.

We show, in table8, only five words for each culture that match the conditions.

TABLE 8.
CULTURE CHARACTERIZATION

Num.	Word	Probab ility	χ^2
Hierarchy culture			
51	formalized	0.0076	254.79
54	leader	0.0076	248.77
47	coordinator	0.0076	219.17
60	organizer	0.0076	219.17
77	system	0.0076	215.28
Clan culture			
1	Employee	0.093	507.15
61	Team	0.007	291.53
32	Leadership	0.011	202.53
2	Development	0.041	193.44
3	Commitment	0.037	169.45
Adhocracy culture			
1	New	0.088	602.64
61	Renewal	0.007	242.88
50	Experimentation	0.007	165.32
55	Innovator	0.007	161.42
49	Change	0.007	156.22
Market culture			
1	Competitive	0.055	220.06
48	Leader	0.009	187.45
38	Competitor	0.009	159.67

42	Demanding	0.009	137.85
50	Penetration	0.009	137.85

With co-occurrence method we could identify keywords of each culture. These keywords can be used with reliability because we used a mathematical method to identify it. In other words, these keyword characterize each culture (Hierarchy, Clan, Adhocracy and, Market).

J. Mexican Norm Characterization

To solve the second problem that arose in step 2 of our methodology, we applied, one more time, co-occurrence method. The documents analyzed were the four Mexican Norm description books (NMX-I-059/NYCE-2005). The characterization of these books is similar to the characterization of culture description documents. So that, in this section, we only show the characterization results and we do not show details about the characterization process. The hypotheses to assess were:

- a) Null hypothesis (H_0) . "The occurrence of frequent terms of G is **independent** of frequent terms of the word w ".
- b) Alternative hypothesis (H_A) . "The occurrence of frequent terms of G is **dependent** of frequent terms of the word w ".

K. Assessing Hypothesis to Mexican Norm

This assessing is like CVF's cultures assessing, then we only are going to show the results, (see Table 9).

TABLE 9.
MEXICAN NORM CHARACTERIZATION

Num.	Word	Probab ility	χ^2
NMX-I-059/NYCE-2005 book 1			
68	Service	0.006	1101.57
1	Process	0.064	966.05
95	Structure	0.004	938.01
60	Activity	0.006	912.39
74	Definition	0.005	894.13
NMX-I-059/NYCE-2005 book 2			
2	Plan	0.064	5216.65
1	Process	0.082	5131.38
50	Made	0.007	3929.23
44	Planning	0.007	2848.38
36	Expected	0.009	2761.85
NMX-I-059/NYCE-2005 book 3			
1	Plan	0.075	10635.5
2	Report	0.053	5175.23
73	Made	0.005	4802.94
3	Process	0.051	4435.05
43	suggestion	0.008	3966.59
NMX-I-059/NYCE-2005 book 4			
1	Evaluation	0.159	11658.9
58	done	0.004	1499.30
2	organization	0.063	1392.03
3	process	0.048	1253.14
40	questionnaire	0.006	1082.79

In this section we identified the keywords who characterize to each Mexican norm book. With these

results, in the next section we are going to show details to identify the embedded culture of Mexican norm NMX-I-59/NYCE-2005.

L. Identifying NMX-I-059/NYCE-2005 Embedded Culture

To identify NMX-I-59/NYCE-2005 Mexican Norm’s embedded culture, we used an algorithm.

Algorithm inputs are the keywords identified in section I and section J. Iteratively, all keywords of each book (Mexican Norm books) were compared with all keywords of each culture description document (Hierarchy, Market, Clan, Adhocracy). For example, when we compared the book 1 (NMX-I-59/01-NYCE-2005) vs. the Hierarchy culture description document, we found the keyword SYSTEM in the book 1 and into the Hierarchy culture description document; therefore, this coincidence was accounted.

To carry out quantification and identification of dominant culture, we normalized the number of co-occurrences of each type of culture (Hierarchy, Clan, Market, Adhocracy) and the number of co-occurrences of each Mexican Norm book. Thus, all co-occurrences have same weight and same influence at the moment of identification and quantification of embedded dominant culture.

This algorithm was applied to all books and all culture description documents.

TABLE 10.
DOMINANT CULTURE OF MEXICAN NORM

Books	Culture			
	Hierarchy	Market	Clan	Adhocracy
NMX-I-59/01-NYCE-2005	34.52	26.80	19.69	18.99
NMX-I-59/02-NYCE-2005	26.63	27.57	8.68	37.11
NMX-I-59/03-NYCE-2005	37.77	35.30	15.39	11.54
NMX-I-59/04-NYCE-2005	27.42	27.60	19.86	25.13
Sum	126.34	117.28	63.61	92.77
Normalized percentage	31.59	29.32	15.90	23.19

The dominant embedded culture of Mexican Norm, NMX-I-059/NYCE-2005 is the Hierarchy culture with 31.59 points. This result was obtained when we added and normalized the results, (see Table 10).

According to the Step 3 of our methodological proposal, we must identify the differences between organizational culture and Mexican Norm’s culture embedded. To identify them, we compared their graphs. We show only the comparative graphs between company eight vs Mexican Norm, because this company had the highest difference (Fig. 6).

The company eight had a Clan culture, so, it does not fit properly with the Mexican Norm’s culture embedded. On average there is a difference of 41.18 percent.

If the quantitative difference is big, then, probability of failure in process is big and, if the quantitative difference is small, then, probability of successful implementation is big.

M. Organizational Culture vs Culture Embedded

Finally in step 4 we identified 3 groups. Each group had a common characteristic: The same organizational culture.

The first group was formed by companies 2, 6 and 8. The second group was formed by companies 1, 3, 5 and 7 and the third group was formed only by the company 4.

The first group has a Clan-type organizational culture. These companies are characterized by a friendly place to work where people share a lot of themselves. It is like an extended family. Leaders are thought of as mentors and perhaps even as parent figures. The organization is held together by loyalty and tradition. Commitment is high. The organization emphasizes the long-term benefit of individual development, with high cohesion and morale being important. Success is defined in terms of internal climate and concern for people. The organization places a premium on teamwork, participation, and consensus. Some basic assumptions in a Clan culture are that the environment can best be managed through teamwork and employee development, customers are best thought of as partners, the organization is in the business of developing a humane work environment, and the major task of management is to empower employees and facilitate their participation, commitment, and loyalty.

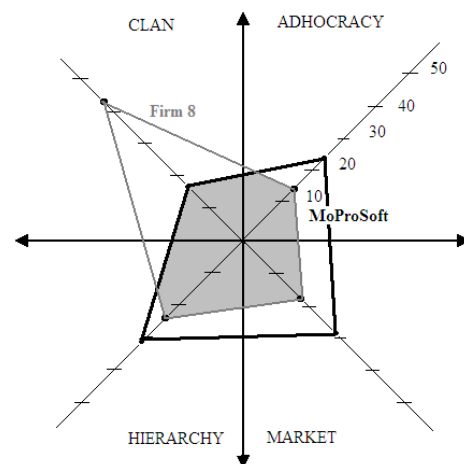


Figure 6. Organizational culture of Company number 8 VS Mexican Norm’s culture embedded.

The mismatch that has this first group with the Mexican Norm model is: While Mexican Norm model have activities governed by processes, in Clan-type organizations, generally, there are no processes that govern the activities and procedures are not always defined. In other hands, there are no manuals to do activities and there are not procedures. Therefore, if the company tries to implement a process model as Mexican Norm, there will be strong opposition to its adoption.

The second group with a Market-type organizational culture may have few problems to adopt a SPIM. This is because, although the Mexican Norm’s culture embedded is Hierarchy-type, has a high percentage of Market culture. In these companies, their main concern is to do

quality work. People are competitive and result-oriented. Managers are also very competitive. The organization is based on the emphasis on winning. There are everyday concerns: reputation and success. Success is defined in terms of market share and positioning. In this kind of organizations, employees are in an environment in which there is control of the work. These companies focus on external environment rather than internal affairs. In other words, focus on transactions with elements external to the organization such as suppliers, customers, contracts, licenses, bonds and regulations. Internal control is based in the external environment. In other hands, internal control is depending on market movements. Companies with Market-type organizational culture, worry about creating and maintaining a competitive advantage, being on lookout for cost-benefit results. Its primary goal is customer satisfaction and safety, so the company tries to increase its competitive position and management's main task is to steer the organization towards productivity, which results in great benefits for the company.

Companies with Market culture are 1,3,5 and 7, only have to worry about making a slight cultural shift toward Hierarchy culture. They must keep their current organizational culture.

The third group has a dominant organizational culture type Adhocracy. This means that employees view the organization as a dynamic place to work with an entrepreneurial and creative environment. Therefore, employees tend to be creative and determination to face the risks identified. Meanwhile, leaders also are considered innovators and risk takers. The organization is joined because they are experimenting with new products or services. They are constantly growing and acquiring new resources. The organizational success means having substantial profits from sales of new products or services. They are always looking for market leadership. These organizations encourage individual initiative and freedom of thought, besides foster adaptability, flexibility and creativity in situations where uncertainty, ambiguity and information overload is typical.

This type of companies must change their organizational culture toward Hierarchy culture, where, without losing its innovative spirit, it must be framed in the use of processes and procedures to allow greater discipline in building activities and innovation.

Finally, it must be stated that each company has four types of cultures with different percentages. The culture with the highest percentage is called the dominant culture. Thus, when designing a process improvement strategy should consider the four types of process culture embedded and, the four types of organizational culture

Clan and Market Cultures were the dominant organizational culture detected in the most Mexican companies. This means (hypothesis) that in Mexico is still dominated by companies that are formed by friends or acquaintances.

VI. OUTCOMES

The main outcomes are:

1. Identification of the dominant organizational culture of eight Mexican software development companies.
 - a. Three companies showed a Clan dominant culture.
 - b. Four companies showed a Market dominant culture.
 - c. One company showed a Adhocracy dominant culture.

Additionally, it was observed that: i) Mexican software development companies arise primarily as an association of friends, relatives or acquaintances. ii) Most of these companies there are no processes. iii) Most of these companies do not consider important to understand their organizational culture. These observations cannot be generalized to all Mexican software development companies, since the number of companies surveyed are not representative sample of all businesses that exist in Mexico, however, give us an indication of the nature of Mexican software industry.

2. Identification of the dominant embedded culture of Mexican Norm NMX-I-059/NYCE-2005. The Mexican Norm has a Hierarchy culture. This culture has a 31.58% into the Norm descriptive books; however, The Market culture has a percentage very close to dominant culture.
3. Characterization of the four cultures. These cultures are described in the CVF. The quantity co-occurrences were :
 - a. Hierarchy culture: 44 co-occurrences.
 - b. Clan culture: 27 co-occurrences.
 - c. Market culture: 17 co-occurrences.
 - d. Adhocracy culture: 24 co-occurrences.
4. Characterization of the four Mexican Norm books. The quantity of co-occurrences that characterized each book were:
 - a. NMX-I-059/01-NYCE-2005 (book 1): 87 co-occurrences.
 - b. NMX-I-059/02-NYCE-2005 (book 2): 96 co-occurrences.
 - c. NMX-I-059/03-NYCE-2005 (book 3): 99 co-occurrences.
 - d. NMX-I-059/04-NYCE-2005 (book 4): 99 co-occurrences.
5. Differences and similarities quantification between the organizational culture VS embedded culture of MoProSoft. The percentages identified are shown in Table 11.

TABLE 11.
DIFFERENCES AND SIMILARITIES

	Differences with NMX-I-059/NYCE-2005	Similarities with NMX-I-059/NYCE-2005
Firm 1	25.83	74.17
Firm 2	29.26	70.74
Firm 3	27.41	72.59
Firm 4	33.15	66.85
Firm 5	32.80	67.20
Firm 6	23.78	76.22

Firm 7	23.29	76.71
Firm 8	41.18	58.82

- Qualitative interpretation about quantitative differences. In Section 5.5 we show this interpretation.

VII. RELATED WORK

There are some researchers working in this area, for example: Siakas, [2], developed the CODES assessment model, which assesses the cultural fit between national culture and organizational culture. The CODES model includes two sub-models, namely the C.H.I.D.D.I typology, this tries to identify the national culture and, the Top-down, Bottom-up model tries to identify the organizational culture and structure. This model can be used by organizations developing software in any country to do a successful adoption and implementation of a Software Quality Management System. Moreover, Hazzan [3], researched the connections between a national culture and the culture inspired by software development methods (SDMS). He proposed a model that can help predict whether a specific SDM fits a specific national culture. His model first defines the terms "tightness of an SDM" and "tightness of a national culture". Then, He can detect the degree to which a given SDM will be accepted by a specific national culture in general, and by a specific team that is part of the culture, in particular.

Whoever, the difference with our proposal is: we do an identification of the technology's culture embedded and we do a comparison between this and the company's organizational culture.

VIII. CONCLUSIONS

An important factor to adopt SPIMs is undoubtedly the organizational culture of companies and the culture embedded of the SPIMs. Their identification and consideration should not be treated as an afterthought.

The SPIM has an embedded culture. This corresponds to person's culture or institution that developed it. The SPIM's culture embedded can be identified and quantified. This will facilitate its adoption and institutionalization.

With our methodology proposal, we can identify and quantify cultural differences that exist between a company's organizational culture and a SPIM's culture embedded. The results will allow:

- Reduce adoption SPIM effort.
- Reduce economic loss on adoption activities.
- Reduce risk of failure in adoption activities.
- Increase chances of success in adoption.

The information generated by our methodology will be useful to employers because they could create plans and strategies for adoption, institutionalization of a SPIM within their company, even they may assess appropriateness of adopting or rejecting certain SPIM depending on the percentage identified of matches and

cultural differences. A greater percentage of differences mean greater economic investment for the adoption.

Before companies start with adoption activities, it would be good practice to begin with an analysis of their organizational culture and SPIM selected. Understand this analysis, would give them a competitive advantage. Through this analysis they would identify and quantify their organizational culture, the SPIM's culture embedded and the differences and similarities between them. This information would be used in their plans and strategies adoption.

Finally, we must say something about our outcomes of this research: they must be taken with caution and cannot be generalized to all companies. However, companies could take them like a guide for adoption process activities. We think that is possible to apply this cultural-methodological proposal in different technologies areas and, with different process models; for instance, we are applying our method to identify SCRUM [37] embedded culture because we need to determine matches and mismatches between SCRUM's culture versus organizational culture of Mexican software development companies, although, there are some drawbacks like: a) We can't generalize our outcomes because all the time the situations can be different because we are working with persons and they are unpredictable, b) Organizational cultures are dynamic and change over time.

IX. FUTURE WORK

There is still much work to do in this research. In future work we have identified, include the following:

- Automation of the algorithm which identify matches. Currently this is a part of our methodology that it is performed in a non-automatic way.
- Develop a document with recommendations about actions to must be carried out to align the organizational culture of the company to embedded culture of MoProSoft.
- Applying our methodology to other technology, to analyze its performance in other contexts.
- Identify and quantify the embedded culture of MoProSoft using a different methodology to our methodology. In order to observe the differences and similarities between outcomes.
- Conduct an investigation that allows us to determine the degree of correlation between companies with a dominant organizational culture type Hierarchy vs MoProSoft successful adoptions. To do this, we need to work with software development companies that are in initial adoption activities. The expected result would be that there is a strong correlation between these two factors.
- Identify correlation degree between companies with different dominant organizational culture to

Hierarchy culture vs unsuccessful MoProSoft implementations. The expected result is that there also exists a strong correlation between these two factors.

7. Analyze cultural factors present in software companies that successfully implemented Moprosoft but not use it. To investigate whether there was an appropriate cultural fit between the organizational culture of the company and embedded culture of MoProSoft, if not, it may be that only fulfilled requirement to pass the official assessment applied by NYCE, but not carried out a proper process of institutionalizing the process within the company.

These are some researches should be carried out in order to continue our work.

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