A Simple but Effective Maximal Frequent Itemset Mining Algorithm over Streams

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Abstract—Maximal frequent itemsets are one of several condensed representations of frequent itemsets, which store most of the information contained in frequent itemsets using less space, thus being more suitable for stream mining. This paper considers a simple but effective algorithm for mining maximal frequent itemsets over a stream landmark. We design a compact data structure named FP-FOREST to improve an state-of-the-art algorithm INSTANT; thus, itemsets can be compressed and the support counting can be effective performed. Our experimental results show our algorithm achieves a better performance in memory cost and running time cost.

I. INTRODUCTION

Frequent itemset mining is a traditional and important problem in data mining. An itemset is frequent if its support is not less than a threshold specified by users. Traditional frequent itemset mining approaches have mainly considered the problem of mining static transaction databases. In these methods, transactions are stored in secondary storage so that multiple scans over the data can be performed. Three kinds of frequent itemset mining approaches over static databases have been proposed: reading-based[3], writing-based[15], and pointer-based[18]. [14] presented a comprehensive survey of frequent itemset mining and discussed research directions.

Many methods focusing on frequent itemset mining over a stream have been proposed. [13] proposed FP-Stream to mine frequent itemsets, which was efficient when the average transaction length was small; [22] used lossy counting to mine frequent itemsets; [7],[8], and [9] focused on mining the recent items, which used a regression parameter to adjust and reflect the importance of recent transactions; [27] presented the FTP-DS method to compress each frequent itemset; [10] and [11] separately focused on multiple-level frequent itemset mining and semi-structure stream mining; [12] proposed a group testing technique, and [17] proposed a hash technique to improve frequent itemset mining; [16] proposed an in-core mining algorithm to speed up the runtime when distinct items are huge or minimum support is low; [19] presented two methods separately based on the average time stamps and frequency-changing points of patterns to estimate the approximate supports of frequent itemsets; [5] focused on mining a stream over a flexible sliding window; [20] was a block-based stream mining algorithm with DSTree structure; [23] used a verification technique to mine frequent itemsets over a stream when the sliding window is large; [11] reviewed the main techniques of frequent itemset mining algorithms over data streams and classified them into categories to be separately addressed. Given these algorithms, the runtime could be reduced, but the mining results were huge when the minimum support was low; consequently, the condensed representations of frequent itemsets including closed itemsets[25], maximal itemsets[31], free itemsets[4], approximate k-sets[2], weighted itemsets[28], and non-derivable itemsets[6] were proposed; in addition, [26] focused on discovering a minimal set of unexpected itemsets.

The concept of maximal frequent itemsets(MFI) was first proposed in 1998, an itemset is maximal frequent itemset if its support is frequent and it is not covered by other frequent itemsets, we will discuss the details in Section 2. Maximal frequent itemsets are one of the condensed representations, which only store the non-redundant cover of frequent itemsets, resulting in space cost reduction.

Many maximal frequent itemset mining algorithms were proposed to improve the performance. The main considerations focused on developing new data retrieving methods, new data pruning strategies and new data structures. Yang used directed graphs in [35] to obtain maximal frequent itemsets and proved that maximal frequent itemset mining is a fpa problem. The basic maximal frequent itemset mining method is based on the a priori property of the itemset. The implementations were separated into two types: One type is an improvement of the a priori mining method, a bread first search[32], with utilizing data pruning, nevertheless, the candidate results are huge when an itemset is large; a further optimization was the down-top method, which counted the weight from the largest itemset to avoid superset checking, also, the efficiency was low when the threshold was small. Another one used depth first search[33] to prune most of the redundant candidate results, which, generally, is better than the first type. In these algorithms, many optimized strategies were proposed[34][31]: The candidate group built a head itemset and a tail itemset, which can quickly built different candidate itemsets; the super-itemset pruning could immediately locate the right frequent itemset; the global itemset pruning deleted all

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the sub-itemsets according to the sorted itemsets; the item dynamic sort strategy built heuristic rules to directly obtain the itemsets with high support, which was extended by a further pruning based on tail itemset; the local check strategy got the related maximal frequent itemsets with the current itemset.

Recently, many stream mining algorithms for maximal frequent itemsets were proposed. [21] proposed an incremental algorithm estDec+ based on CP-tree structure, which compressed several itemsets into one node according to their frequencies; thus, the memory cost can be flexibly handled by merging or splitting nodes. Furthermore, employing an isFI-forest data structure to maintain itemsets, [30] presented DSM-MFI algorithm to mine maximal frequent itemsets. Moreover, considering maximal frequent itemset is one of the condensed representation, [24] proposed INSTANT algorithm, which stored itemsets with frequencies under a specified absolute minimum support, and compared them to the new transactions to obtain new itemsets; Plus, [29] presented an improved method estMax, which predicted the type of itemsets with their defined maximal life circle, resulting in advanced pruning.

In this paper, we explore a new stream maximal frequent itemsets mining algorithm named INSTANT+ based on a stream landmark model. The main contributions are as follows:

1) First, we design a compact and simple data structure named FP-FOREST to store the maximal frequent itemsets and infrequent itemsets. In FP-FOREST, each tree dynamically maintains the itemsets with equal support; thus, we can compress the storage cost, and efficiently compute the support.

2) Second, when new transaction arrives, we present a simple but efficient algorithm to dynamically maintain the FP-FOREST, in which itemsets are pruned effectively and the maximal frequent itemsets can be obtained in real time.

3) Finally, we evaluate the INSTANT+ algorithm on two datasets in comparison to the state-of-the-art maximal frequent itemset mining method INSTANT. The experimental results show that INSTANT+ is efficient and effective.

The rest of this paper is organized as follows: In Section 2 we present the preliminaries of frequent itemsets and maximal frequent itemsets and define the mining problem. Section 3 illustrates our data structure and our algorithm. Section 4 evaluates the performance of INSTANT+ with experimental results. Finally, Section 5 concludes this paper.

II. PRELIMINARIES AND PROBLEM STATEMENT

A brief review of frequent itemset and maximal frequent itemset is presented in this section; based on the concepts, we introduce the problem addressed in this paper.

### A. Preliminaries

1) **Frequent Itemsets:** Given a set of distinct items \( \Gamma = \{i_1, i_2, \cdots, i_n\} \) where \( |\Gamma| = n \) denotes the size of \( \Gamma \), a subset \( X \subseteq \Gamma \) is called an itemset; suppose \( |X| = k \), we call \( X \) a \( k \)-itemset. A concise expression of itemset \( X = \{x_1, x_2, \cdots, x_m\} \) is \( x_1 x_2 \cdots x_m \). A database \( D = \{T_1, T_2, \cdots, T_n\} \) is a collection wherein each transaction is a subset of \( \Gamma \), namely an itemset. Each transaction \( T_i (i = 1 \cdots v) \) is related to an id. i.e., the id of \( T_i \) is \( i \). The absolute support (AS) of an itemset \( \alpha \), also called the weight of \( \alpha \), is the number of transactions which cover \( \alpha \), denoted \( \Lambda(\alpha) = \{|T| | T \in D \land \alpha \subseteq T\} \); the relative support (RS) of an itemset \( \alpha \) is the ratio of AS with respect to \( |D| \), denoted \( \Lambda_r(\alpha) = \frac{\Lambda(\alpha)}{|D|} \). Given a absolute minimum support \( \lambda \), itemset \( \alpha \) is frequent if \( \Lambda(\alpha) \geq \lambda \).

2) **Maximal Frequent Itemsets:** A maximal itemset is a largest itemset in a database \( D \), that is, it is not covered by other itemsets. A maximal frequent itemset is both maximal and frequent in \( D \).

**Definition 1.** Given the minimum support \( \lambda \), an itemset \( \alpha \) is an maximal frequent itemset if it is frequent and it is not covered by other frequent itemsets, denoted \( \Lambda(\alpha) \geq \lambda \land \nexists (\beta \supset \alpha \land \Lambda(\beta) \geq \lambda) \).

**Example 1.** Given a simple database \( D \) as shown in Tab.1 and the minimum support 2, the frequent itemsets are \{a,b,c,d,e,ab,ac,ad,ae,bc,bd,be,cd,ce,de,ace,bcd,bce,bde,cd,abde,bcde\}, nevertheless, the maximal frequent itemsets are \{ace,abde,bcde\}. As can be seen, the maximal frequent itemsets are much less than the frequent itemsets.

### B. Problem Definition

We choose the landmark model in this paper because it can reflect the global characteristic of a stream, i.e., the problem addressed in this paper is to generate maximal frequent itemsets from the first arrived transaction to the most recently arrived one. Fig. 1 is an example with \( \Gamma = \{a, b, c, d, e\} \). The initial window includes 1 itemsets, when transactions arrive, new itemsets are added.

### III. INSTANT+

In this section, we will first describe the algorithm we need to improve, with presenting its drawbacks, we introduce an efficient data structure to address the problem and propose our solution with theoretical analysis.
A. INSTANT Introduction

INSTANT is a state-of-the-art algorithm for mining maximal frequent itemsets over stream landmark model, which used a very simple but effective data structure to maintain the maximal frequent itemsets and infrequent itemsets.

INSTANT employs arrays \( U \) to store different itemsets with the equal support, that is, \( U(i) \) is used to store the itemsets with support \( i \); thus, given the minimum support \( \lambda \), arrays from \( U(1) \) to \( U(\lambda) \) store the itemsets with support from 1 to \( \lambda \), in which \( U(\lambda) \) store the maximal frequent itemsets. When a new transactions \( T \) arrives, INSTANT will compare \( T \) with each itemset \( I \in U(i) \) and insert the interaction \( T \cap I \) into \( U(i) \) if it is not one of the itemsets in \( U(i) \).

As an example, giving the minimum support is \( \lambda = 3 \), i.e., INSTANT maintains 3 arrays: \( U(1), U(2) \) and \( U(3) \). Suppose the new arriving transaction \( T \) is \( \{abc, acd, abd\} \), and \( U(3) = \{ac\} \), \( U(2) = \{abc, acd\} \) and \( U(1) = \{abed\} \), the procedure is as follows.

1) First, \( T \) compares with each itemset in \( U(2) \), which is \( \{abc, acd\} \), and obtains the interactions \( \{ab, ad\} \), and insert them into \( U(3) \) since \( ac \) do not cover \( ab \) and \( ad \), and \( ab \) and \( ad \) do not cover \( ac \). Thus, \( U(3) = \{ac, ab, ad\} \).

2) Second, \( T \) compares with each itemset in \( U(1) \), which is \( \{abed\} \), and obtains the interactions \( \{abd\} \), and insert them into \( U(2) \) since \( abd \) do not cover \( abc \) and \( acd \), and \( abc \) and \( acd \) do not cover \( abd \). Thus, \( U(3) = \{abc, acd, abd\} \).

3) Finally, the itemsets \( \{ac, ab, ad\} \) in \( U(3) \) is output as the maximal frequent itemsets.

INSTANT is an efficient and effective algorithm, but we argue that it has drawbacks in two aspects. On the one hand, even though it only stores the useful itemsets, but the storage is not optimized, which results in a storage redundant; in the previous example, when \( U(3) \) need to store \( \{abc, acd, abd\} \), the a was stored repeatedly. On the other hand, the itemset comparison is a very regular operation, whose computation cost has a direct effect on the final running time cost, but it is also not optimized in INSTANT.

B. FP-FOREST

In our algorithm, computing the absolute support of each itemset is an usual action, we employ a FP-FOREST, which is actually composed of FP-trees, to store all the transactions of stream, which can reduce the memory cost and speed up the support computation. FP-tree[15] is a tree storing transactions in a root-path manner, that is, the common prefix of transactions can be stored once, and its repeated time can be recorded by a counter. As can be seen from the left image of Fig.2, FP-tree is a compact data structure, which can reduce the memory cost a lot.

We improved the FP-tree structure for our stream mining; thus, it has its own characteristics.

First, since each FP-tree stores the itemsets with equal support, in which the nodes have no item counters; as a result, our FP-tree can be incrementally maintained, i.e., when a new transaction arrives, either new branches are added, or existing nodes are pruned.

Second, our FP-tree node has no item counter, consequently, each branch is not covered by others; thus, once a new added itemset \( I \) covered by any branch, it will be pruned no matter where \( I \) locates. For example, as shown in the left image of Fig.2, in traditional FP-tree, \( ab \) is an independent branch, which is covered by \( abcd \), hence, it is not presented in our first FP-tree.

Third, to recognize the transactions \( tid \), our implementation is based on an appended tid-set, which stores the transactions \( tid \), and each \( tid \) points the right position of FP-tree. We can see from the right image of Fig.2 that the bottom numbers are the \( tid \). Since our FP-tree only stores the maximal branches, the \( tid \) distribute at different FP-trees. For example, we can find a transaction with \( tid=2 \) in our first FP-tree in the right image of Fig.2; thus, we can retrieve from node \( a \) (which is pointed by \( tid=2 \)) to the root, and get the corresponding transaction \( abcd \).

C. INSTANT+ Algorithm

Given the absolute minimum support \( \lambda \), our algorithm improves INSTANT based on the proposed data structure FP-FOREST, which is implemented as a series of FP-trees, denoted \( FP(\lambda \leq i \leq \lambda) \).

1) INSTANT+ Implementation and Complexity Analysis: Employing FP-FOREST, our algorithm is a little different from INSTANT when we compare and update the itemsets, resulting in a great efficiency improvement.

1) INSTANT need to compare the new arrived transaction \( T \) to all existing itemsets, that is, given the itemsets\( n \) with equal support, INSTANT will perform \( n \) comparisons, i.e., the time complexity is \( O(n) \); if the average size of these \( n \) itemsets is \( m \), then the average time complexity is \( O(m) \); thus, the overall time complexity is \( O(mn) \). Nevertheless, in INSTANT+, the itemsets with equal support have been compressed in a FP-tree and indexed, if an itemset has no interaction with \( T \), the computation is ignored; suppose the number of related itemsets is \( w(w << n) \), then the time complexity is also \( O(mw) \).

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2) **INSTANT** need to add and delete the itemset, which are based on the itemset comparison, that is, when adding an itemset, **INSTANT** will compare it with all the existing itemsets and insert it if it is not exist, so is deleting an itemset; thus, even though their own time complexity is $O(1)$, the overall time complexity is $O(mn)$. On the other hand, when adding or deleting an itemset, we will traverse FP-tree twice, the first time is to deciding the itemset existence, the second time will add or delete the itemset; thus, the overall time complexities are $O(mnw)$.

3) **INSTANT** stores all the individual itemsets, that is, given the itemsets the number is $n$ with equal support, the space complexity is $O(n)$. Our algorithm uses FP-FOREST, which can share the items at the same branch, the space complexity decreases to $O(\log n)$.

2) An Example: We use an example to describe the mining process in detail. The dataset in Fig.1 is employed in our example. As can be seen, the transactions are added one by one, and we will dynamically maintain the FP-FOREST.

Suppose the absolute minimum support $\lambda = 3$, i.e., 3 FP-trees, $FP_1$, $FP_2$, and $FP_3$, are in our structure. The mining process is as follows.

1) First, as shown in Fig.3, when no transaction arrives, all FP-trees are empty, denoted $\Phi$.

2) Second, as shown in Fig.4, the first transaction $bcde$ arrives, then $FP_1$ is updated, whereas $FP_2$ and $FP_3$ are still null.

3) Third, as shown int Fig.5, the second transaction $abcde$ arrives, it compares to $bcde$ in $FP_1$, and inserts the interaction $bcde$ into $FP_2$; then, $bcde$ is pruned from $FP_1$ and $abcde$ is inserted into $FP_1$.

4) Fourth, as shown int Fig.6, the third transaction $ab$
arrives, it compares to \(bcde\) in \(FP_2\), and inserts the interaction \(b\) into \(FP_3\); then, \(ab\) compares to \(abde\) in \(FP_1\) and inserts the interaction \(ab\) into \(FP_2\).
5) Fifth, as shown int Fig.7, the fourth transaction \(abde\) arrives, it compares to \(bcde\) and \(ab\) in \(FP_2\), and inserts the interaction \(bcde\) and \(ab\) into \(FP_3\) with \(b\) being pruned from \(FP_3\); then, \(abde\) compares to \(ab\) in \(FP_1\) and inserts the interaction \(abde\) into \(FP_2\) with \(ab\) being pruned from \(FP_2\).
6) Finally, as shown int Fig.8, the fifth transaction \(ace\) arrives, it compares to \(bcde\) and \(abde\) in \(FP_2\), and inserts the interaction \(ce\) and \(ae\) into \(FP_3\); then, \(ace\) compares to \(abde\) in \(FP_1\) and inserts the interaction \(ace\) into \(FP_2\).

As can be seen, the final maximal frequent itemsets are in \(FP_3\), which are \(ae, ce, ab\) and \(bcde\). The items count decreases from 25 in \(INSTANT\) to 20 in \(INSTANT^+\).

IV. EXPERIMENTAL RESULTS

We conducted a series of experiments to evaluate the performance of \(INSTANT^+\). The state-of-the-art mining algorithm, \(INSTANT\)[24], was used as the evaluation method.

A. Running Environment and Datasets

All experiments were implemented with \(C_7\), compiled with \(Visual Studio 2005\) in \(Windows Server 2003\) and executed on a \(Xeon 2.0GHz\) PC with \(2GB\) RAM.

We used 1 synthetic datasets and 1 real-life datasets, which are well-known benchmarks for frequent itemset mining. The \(T40I10D100K\) dataset is generated with the IBM synthetic data generator. The \(MUSHROOM\) dataset contains characteristics from different species of mushrooms. The data characteristics are summarized in Tab. 2.

B. Running Time Cost Evaluation

We firstly compared the average runtime of these two algorithms under different data sizes when the minimum support was fixed. As shown in all of the images in Fig.9, the running time cost of \(INSTANT\) and \(INSTANT^+\) increase following the data size; these results verify that both algorithms are sensitive to data size, which is due to the using of unchanged absolute minimum support: When more transactions arrive, more itemsets are generated and compared to the new itemset, since \(INSTANT\) has no optimized method, the running time cost increase hugely; on the contrary, \(INSTANT\) uses the \(FP-FOREST\) as the index, resulting in the whole running time cost reduction,
and even though the running time cost increases following the data size, the increasing scope is small. Also, from the two figures we can see that the average transaction size has an significant effect on the running time cost, since itemset comparisons and interactions are performed regularly: As can be seen, the running of both algorithms over MUSHROOM dataset are slower than running over T40I10D100K dataset, that is, the larger the average transaction size, the worse the running time cost.

We also compared the average runtime of these two algorithms under different minimum supports when data sizes was fixed. As shown in all of the images Fig.10, the running time cost of INSTANT and INSTANT+ are almost unchanged when the minimum support become large; but in comparison, the runtime cost of INSTANT+ is much lower than that of INSTANT. In detail, the running time of INSTANT always raises, that is because when the minimum support turns large, INSTANT need to store more infrequent itemsets; thus, the itemset search and comparison cost increases. Whereas the runtime cost of INSTANT+ over MUSHROOM dataset reduces following the minimum support, which is due to the dataset characteristics: When new maximal frequent itemset are generated, some itemsets will be pruned and the memory usage is further compacted, resulting in the computation reduction.

C. Memory Cost Evaluation

To evaluate the memory cost of our algorithm, we compared the count of generated items in INSTANT and INSTANT+. As shown in Fig.11, when we fix the minimum support and increase the data size, the generated items of both algorithm become more, but the overall items count of INSTANT+ is less than that of INSTANT since INSTANT+ uses the FP-FOREST to prune the redundant items. Furthermore, when the minimum support increases, the incremental scope of INSTANT+ is much reduced than that of INSTANT, that is because FP-FOREST will prune more redundant items when the overall items count is fixed but the itemsets count increases.

We also compared the items count of these two algorithms under different minimum supports when data size was fixed. As show in Fig.12, corresponding to the runtime cost in Fig.10, the items count in INSTANT is in direct proportion to the minimum support, but in INSTANT+, the items count may decreases, which is analyzed in the running time cost comparison.

V. Conclusions

In this paper we considered a problem that how to mine maximal frequent itemset over stream landmark model. We discussed the drawbacks of an state-of-the-art algorithm INSTANT and introduced a compact data structure FP-FOREST, which can compress the itemset storage and optimize itemset computation, based on which, an improved algorithm INSTANT was developed and analyzed. Our experimental studies showed that our algorithm is effective and efficient.

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Fig. 10. Runtime Cost

(a) Runtime cost vs. minimum support

(b) Runtime cost vs. minimum support

Fig. 11. Memory Cost

(a) Itemsets Count vs. number of records

(b) Itemsets Count vs. number of records

(a) Itemsets Count vs. minimum support

(b) Itemsets Count cost vs. minimum support

Fig. 12. Memory Cost

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